#3
2611ref
10-17-02

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Application Number	09/821,059
Filing Date	MARCH 29, 2001
First Named Inventor	SRINIVAS GUTTA ET AL.
Group Art Unit	2611
Examiner Name	
Attorney Docket Number	US010074

APPROVED OCT 1 6 2002

To Commissioner For Patents
Enclosed herewith is a Form PTO-1449, required copies of documents listed thereon, and a concise explanation of their relevance is described below or enclosed herewith per 37 CFR 1.97.

These documents may be relevant in that they have been:

☐ considered in drafting the specification of the above-referenced application;

☐ cited in the specification of the above-referenced application;

☐ previously submitted or cited in U.S. patent application(s) _____ which are relied on for an earlier effective filing date under 35 U.S.C. 120 (no copy required); or

☒ cited as an "X" or "Y" document in a foreign Patent Office search report on a foreign counterpart application, a copy of which report is also enclosed;
  ☐ I hereby certify that these documents were first cited in any communication with a foreign Patent Office for a counterpart foreign application not more than three (3) months ago;

☐ otherwise a concise explanation of the relevance of each document is append hereto.
  ☐ I hereby certify that not one of these documents was cited in any communication with a foreign Patent Office nor was any known to any individual designated in §1.56(c) more than three (3) months ago.
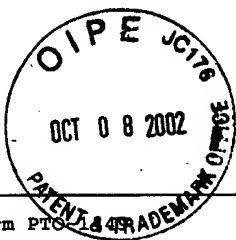
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED			
Name (Print Type)	MICHAEL E. BELK	Registration No. (Attorney/Agent)	33,357
Signature	*Michael E Belk*	Date	10/3/02

CERTIFICATE OF MAILING OR TRANSMISSION			
I hereby certify that this is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office tel# : _____ on the date below:			
Name (Print Type)	NOEMI CHAPA		
Signature	*Noemi Chapa*	Date	10/4/02

Form PTO-1449 (REV. 7-80) COMMERCE	U.S. DEPARTMENT OF PATENT AND TRADEMARK OFFICE	Atty. Docket No. US 010074	Serial No. 09/821,059
		Applicant SRINIVAS GUTTA ET AL.	
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)		Filing Date 3/29/01	Group 2611

U.S. PATENT DOCUMENTS

Ex. Int.		Document Number	Date	Name	Class	Sub-class	Filing Date If Approp.
	AA	6 1 7 7 9 3 1	1/23/01	ALEXANDER ET AL.	345	327	
	AB						
	AC						
	AD						
	AE						
	AF						

RECEIVED

OCT 1 0 2002

Technology Center 2600

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-class	Trans. Yes	Trans. No
	AG	W O 0 1 1 9 0 8 4	15/3/01	PCT (WORLD)	H04N	7/16		
	AH	W O 0 0 4 0 0 2 7	6/7/00	PCT (WORLD)	H04N	7/173		
	AI							
	AJ							
	AK							

OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)

	AL	Patent Abstracts Of Japan, Kishi Yoshizo, "CATV System Having Control Means For Utilizing Device For Home Terminal," Patent Number 09065317, 7/3/97, Application Number 07221394, 30/8/95
	AM	
	AN	

Examiner	Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

S:\BE\US010074DISCL.BE.DOC